Shareholder and/or Representative Personal Information Consent Form (POPIA)

MultiAsset Investment Management (pty) LTD, herein referred to as MultiAsset

The Protection of Personal Information Act (POPIA) applies to the processing of personal information by a responsible party. A responsible party, such as MultiAsset (Pty) Ltd, requires your consent to store, process and use your personal data in terms of your capacity as a representative and/or shareholder of MultiAsset. MultiAsset requires your personal information to comply with legal obligations imposed by the law and regulatory frameworks*.

Use of Information: Your personal information is used where necessary to conduct normal course of business as a shareholder and or representative. We use your personal information for the following purposes:
- to fulfil our contract and obligations with you;
- verify and identify your identity and conduct checks required by regulatory frameworks*;
- communicate with you;
- comply with legal obligations imposed by the law and regulatory frameworks*.

Collection: We will collect the following (but not limited to) data directly and or/indirectly from you:
- your title, full name, your contact details (email address and telephone/cellphone number);
- that of the shareholder of MultiAsset as well as beneficial owners, trustees etc. thereof as required;
- proof of residential address;
- your date of birth;
- proof of identification, registration, entity verification documents;
- occupation;
- tax number, tax certificates or tax clearance;
- payslip;
- financial information: investment value, source of wealth, source and proof of funds.
- bank account details
- Or as required

For MultiAsset to fulfil their contract with you, it is mandatory that the personal information listed above is provided in to enable MultiAsset to carry out its mandated duties and services. Should you fail to provide MultiAsset with the information, MultiAsset will be forced to terminate the representative contract with you.

Sharing of Information: The personal information provided to MultiAsset is kept strictly confidential and MultiAsset will not rent, trade or sell your personal information. MultiAsset will, however, disclose or report personal information if and when required to do so by law or any regulatory framework*.

Your rights: As the 'data subject', you have the right to (after having provided adequate proof of identity):
- access and rectify the information collected;
- object to the processing of personal information;
- request a responsible party to confirm, free of charge, whether or not the responsible party holds personal information about the data subject
- request from a responsible party the record or a description of the personal information about the data subject held by the responsible party, including information about the identity of all third parties, or categories of third parties, who have, or have had, access to the information—
    i.   within a reasonable time;
    ii. at a prescribed fee, if any;
    iii. in a reasonable manner and format; and
    iv. in a form that is generally understandable.

- the right to lodge a complaint to the Information Regulator and the contact details of the information regulator;

    Details of the Information Regulator (South Africa):
    Website: www.justice.gov.za/inforeg
    Email Address: complaints.IR@justice.gov.za | inforeg@justice.gov.za
    Address: 33 Hoofd Street, Forum III, 3rd Floor Braampark, P.O Box 31533, Braamfontein, Johannesburg, 2017

Consent and Undertaking
- o The Shareholder and or representative hereby consents to MultiAsset to collect, procure, obtain, receive, directly and/or indirectly, process, information of, amongst others, a personal and/or confidential nature; and
        process and/or outsource, store, retain, share and disclose,
- o The Shareholder and or representative hereby consents to MultiAsset to collect, procure, obtain and receive, directly and/or indirectly, from the Client or another third party, information of, amongst others, a personal and/or confidential nature; and
- o process and/or outsource such information electronically and/or otherwise; and
- o store and retain, where applicable, such information; and
- o share or disclose, where required or obliged, such information; and as the case may be, such information in accordance with the laws prevailing from time to time and MultiAsset's prevailing Protection of Personal Information Policy which,
- o can be accessed on MultiAsset's website www. MultiAsset.co.za; and
- o may be updated from time to time, with such updated version automatically superseding and replacing the previous version, with the Client hereby undertaking to keep himself/herself or itself up to date with any and all such changes or updates by regularly viewing the applicable page on the MultiAsset website.

- The Shareholder and or representative hereby undertakes to:
- o acquaint himself/herself or itself with MultiAsset's prevailing Protection of Personal Information Policy from time to time with particular reference to the notification provisions provided for in Section 18 of the PoPI Act and the FIC Act requirements; and
- o provide MultiAsset with such information and documentation as may be required, from time to time, by law or to enable MultiAsset to carry out its mandate or perform its duties and undertake its responsibilities.
- Should the Shareholder and or representative not have access to the internet, a copy of MultiAsset's prevailing Protection of Personal Information Policy can be obtained by requesting a copy by emailing MultiAsset at info@miplan.co.za

Shareholder name: _____

Representative name: _____

Signature: _____   Date: _____

regulatory frameworks: Financial Intelligence Act ("FIC Act"); Financial Advisor and Intermediary Services Act ("FAIS Act"); Collective Investment Schemes Control Act ("CISCA"); Protection of Personal Information Act ("PoPI Act"); Consumer Protection Act; Electronic Communications and Transactions Act; Promotion of Access to Information Act ("PAIA") and Income Tax Act or any such applicable acts.