
MI-PLAN FAIS DISCLOSURE STATEMENT

STATUTORY FAIS DISCLOSURE STATEMENT ON MI-PLAN (PTY) LTD

1. **Full Business and Trade Name:** MiPlan (Pty) Ltd
2. **FSP Licence:**
 - 2.1. **Number:** 9383
 - 2.2. **Licenced Financial Services:** Advice, intermediary service and discretionary FSP in respect of the following products:
 - Long-Term Insurance: Subcategory A, B1, B1-A, B2, B2-A, C
 - Securities and instruments: shares in a company other than “a share block company” as defined in the Share Block Control Act, 1980, money market instruments, debentures and securitised debt, warrants, certificates and other instruments acknowledging conferring or creating rights to subscribe to, acquire, dispose of, or convert securities, bonds, derivatives;
 - Deposits as defined in the Banks Act;
 - Participatory interest in one or more collective investment scheme and hedge funds.
 - Long-Term Deposits, Short Term and Structured deposits.
 - Participatory interest in a hedge fund

For the full list of the above-mentioned products, see:
www.miplan.co.za/disclosure/#tc-fscalicence
 - 2.3. **Conditions/Restrictions:** Available on request
3. **Registration Number:** 2008/001568/07
4. **Postal Address:** PO Box 23271, Claremont, 7735
5. **Physical Address:** 1st Floor, Mariendahl House, Newlands on Main, Newlands, 7700
6. **Telephone:** 021 657 5960
7. **Website:** www.miplan.co.za
8. **E-mail Address:** clientservices@ipmc.co.za (For all Unit Trust Funds)
9. **Contact Person:**
 - **Name:** Anton Turpin
 - **Designation:** Director
 - **Contact:** 021 657 5960 or info@miplan.co.za

- 10. Compliance Officer:**
- **Name:** Muller Van Staden
 - **Contact:** 021 592 4488 or muller@providus.co.za
- 11. Professional Indemnity Cover:** R40m or as adjusted from time to time.
- 12. FAIS Exemptions:** None.
- 13. Resolution of Complaints:**
- All complaints are to be in writing and addressed to the compliance officer.
 - Once received by the compliance officer, these complaints will be noted in the complaints register.
 - An acknowledgement of receipt of the complaint will be forwarded to the client.
 - The compliance officer will consult with the relevant managers/employees to obtain facts surrounding the complaint.
 - If the complaint is resolved in favour of the client, the discretionary FSP will ensure that a full and appropriate level of redress is offered to the client without any delay.
 - If the outcome is not favourable to the client, the discretionary FSP must provide full written reasons to the client within 6 weeks of receipt of a complaint.
 - If the client is not satisfied with the resolution of the complaint by the discretionary FSP then the client may, if it wishes to pursue the matter, refer the complaint to the Office of the Ombud for Financial Services Providers within 6 months.
 - Contact particulars of Ombud for Financial Services Providers:
*Kasteel Park Office Park,
Orange Building, 2nd Floor,
546 Jochemus Street,
Erasmus Kloof,
Pretoria, 0048*
Tel: 012 762 5000
Share call: 086 066 3247
Email: info@faisombud.co.za
Website: <https://faisombud.co.za/how-to-complain/>

For a copy of the detailed internal complaints resolution system and procedures, contact the compliance officer.

If and where products or services are provided by MiPlan other than financial products or financial services, these additional products or services are not regulated under the Act and therefore the client is not afforded the same protections in respect of those additional products or services that may apply in respect of the provision of financial products or services in terms of the Act.