

FINANCIAL SECTOR CONDUCT AUTHORITY

LICENCE No. 9383

It is hereby certified that with effect from 12 March 2013

MIPLAN (PTY) LTD

is licensed as a financial services provider in terms of section 8 of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002) ,

subject to the conditions and restrictions set out in the Annexure

For Financial Sector Conduct Authority





ANNEXURE

FSP NAME: MIPLAN (PTY) LTD

LICENCE NO: 9383

CONDITIONS AND RESTRICTIONS

1. The licensee is only authorised to provide the following financial services in respect of the corresponding category of financial product

Category I Financial Services [Category I FSP]			
Financial Product	Advice	Intermediary Service	
Long-Term Insurance subcategory A	X	Х	
Long-Term Insurance subcategory B1	Х	Х	
Long-Term Insurance subcategory C	х	Х	
Retail Pension Benefits	х	Х	
Pension Funds Benefits	х	Х	
Shares	х	Х	
Money market instruments	Х	Х	
Debentures and securitised debt	х	Х	
Warrants, certificates and other instruments	Х	Х	
Bonds	х	Х	
Derivative instruments	Х	Х	
Participatory interests in a collective investment scheme	х	Х	
Long-term Deposits	х	Х	
Short-term Deposits	x	X	
Long-term insurance subcategory B2	х	Х	
Long-term Insurance subcategory B2-A	х	Х	
Long-term Insurance subcategory B1-A	Х	M	

ANNEXURE

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 CONDITIONS AND RESTRICTIONS

Structured Deposits	Х	х
Participatory interest in a hedge fund	X	X

Category II Financial Services [Discretionary FSP]
Financial Product
Long-term Insurance subcategory C
Retail Pension Benefits
Pension Funds Benefits
Shares
Money market instruments
Debentures and securitised debt
Warrants, certificates and other instruments
Bonds
Derivative instruments
Participatory interests in one or more collective investment schemes
Long-term Deposits
Short-term Deposits
Structured Deposits
Participatory interest in a hedge fund

